

STATEMENT OF ECONOMIC INTERESTS

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NAME (LAST)	(FIRST)	(MIDDLE)	DATE OF BIRTH	TELEPHONE NUMBER
Pennino	Phillip	Anthony	CITY OF LODI (209) 368-2181	
MAILING ADDRESS (May be business address)		STREET	CITY	ZIP CODE
1502 Keagle Way		Lodi	95242	OPTIONAL: FAX / E-MAIL ADDRESS ppennino@sbcglobal.net

**1. Full Name of Office Sought or Held,  
Agency or Court:**  
City Council

Division, Board, District, if applicable:

Position:

Member of the City Council

➔ If filing for multiple positions, list additional agency(ies)/  
position(s): (Attach a separate sheet if necessary.)

Agency:

Position Title:

**2. Jurisdiction of Office** (Check one box)

☐ State

☐ County of

☒ City of Lodi

☐ Multi-County

☐ Other

**3. Type of Statement** (Check at least one box)

☐ Assuming Office/Initial

Date: / /

☐ Annual: The period covered is January 1, 2001,  
through December 31, 2001.

-or-

☐ The period covered is / / , through  
December 31, 2001.

☒ Leaving Office Date Left: 12 / 4 / 02  
(Check one)

☐ The period covered is January 1, 2001, through  
the date of leaving office.

-or-

☒ The period covered is 01 / 01 / 02 , through  
the date of leaving office.

☐ Candidate

**4. Schedule Summary**

(Check applicable schedules or "No reportable interests.")

➔ During the reporting period, did you have any reportable  
interests to disclose on:

Schedule A-1 ☒ Yes - schedule attached  
Investments (Less than 10% Ownership)

Schedule A-2 ☒ Yes - schedule attached  
Investments (Greater than 10% Ownership)

Schedule B ☐ Yes - schedule attached  
Real Property

Schedule C ☒ Yes - schedule attached  
Income & Business Positions (Income Other than Loans, Gifts, and Travel)

Schedule D ☒ Yes - schedule attached  
Income - Loans

Schedule E ☐ Yes - schedule attached  
Income - Gifts

Schedule F ☐ Yes - schedule attached  
Income - Travel Payments

-or-

➔ ☐ No reportable interests on any schedule

Total number of pages completed including this  
cover page: 6

**5. Verification**

I have used all reasonable diligence in preparing this  
statement. I have reviewed this statement and to the best  
of my knowledge the information contained herein and in  
any attached schedules is true and complete. I certify under  
penalty of perjury under the laws of the State of California  
that the foregoing is true and correct.

Date Signed: 01/02/03  
(month, day, year)

Signature:   
(File the originally signed statement with your filing official.)

**SCHEDULE A-1****Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name  
Phillip Pennino

<p>► NAME OF BUSINESS ENTITY <u>Bank of Lodi</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Bank</u></p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000      <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000      <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)</p> <p>IF APPLICABLE, LIST DATE: _____/_____/01      ____/_____/01 ACQUIRED      DISPOSED</p>	<p>► NAME OF BUSINESS ENTITY <u>PG&amp;E</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Electric &amp; Natural Gas Utility</u></p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000      <input checked="" type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000      <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)</p> <p>IF APPLICABLE, LIST DATE: _____/_____/01      ____/_____/01 ACQUIRED      DISPOSED</p>
<p>► NAME OF BUSINESS ENTITY _____</p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY _____</p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000      <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000      <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)</p> <p>IF APPLICABLE, LIST DATE: _____/_____/01      ____/_____/01 ACQUIRED      DISPOSED</p>	<p>► NAME OF BUSINESS ENTITY _____</p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY _____</p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000      <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000      <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)</p> <p>IF APPLICABLE, LIST DATE: _____/_____/01      ____/_____/01 ACQUIRED      DISPOSED</p>
<p>► NAME OF BUSINESS ENTITY _____</p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY _____</p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000      <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000      <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)</p> <p>IF APPLICABLE, LIST DATE: _____/_____/01      ____/_____/01 ACQUIRED      DISPOSED</p>	<p>► NAME OF BUSINESS ENTITY _____</p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY _____</p> <p>FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000      <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000      <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)</p> <p>IF APPLICABLE, LIST DATE: _____/_____/01      ____/_____/01 ACQUIRED      DISPOSED</p>

Comments: \_\_\_\_\_

**SCHEDULE A-2****Investments, Income, and Assets  
of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name  
Phillip Pennino**1. BUSINESS ENTITY OR TRUST**

Melanie Pennino

Name

777 S. Ham Lane, Lodi

Address

Check one

☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2**GENERAL DESCRIPTION OF BUSINESS ACTIVITY**

Real Estate Agent

**FAIR MARKET VALUE**☐ \$2,000 - \$10,000☒ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000**IF APPLICABLE, LIST DATE:**

/ / 01

ACQUIRED

/ / 01

DISPOSED

**NATURE OF INVESTMENT**☐ Sole Proprietorship☐ Partnership☐ Other

YOUR BUSINESS POSITION

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**☐ \$0 - \$499☐ \$500 - \$1,000☐ \$1,001 - \$10,000☒ \$10,001 - \$100,000☐ OVER \$100,000**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME/LOANS OF \$10,000 OR MORE (attach a separate sheet if necessary)**

KWS Realestate

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☐ INVESTMENT☐ REAL PROPERTY

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

777 S. Ham Lane, Lodi 95242

Real Estate

Description of Business Activity or

City or Other Precise Location of Real Property

**FAIR MARKET VALUE**☐ \$2,000 - \$10,000☒ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000**IF APPLICABLE, LIST DATE:**

/ / 01

ACQUIRED

/ / 01

DISPOSED

**NATURE OF INTEREST**☐ Property Ownership/Deed of Trust☐ Stock☐ Partnership☐ Leasehold

Yrs. remaining

☐ Other**1. BUSINESS ENTITY OR TRUST**

Name

Address

Check one

☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2**GENERAL DESCRIPTION OF BUSINESS ACTIVITY****FAIR MARKET VALUE**☐ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000**IF APPLICABLE, LIST DATE:**

/ / 01

ACQUIRED

/ / 01

DISPOSED

**NATURE OF INVESTMENT**☐ Sole Proprietorship☐ Partnership☐ Other

YOUR BUSINESS POSITION

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**☐ \$0 - \$499☐ \$500 - \$1,000☐ \$1,001 - \$10,000☐ \$10,001 - \$100,000☐ OVER \$100,000**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME/LOANS OF \$10,000 OR MORE (attach a separate sheet if necessary)****4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST**

Check one box:

☐ INVESTMENT☐ REAL PROPERTY

Name of Business Entity or

Street Address or Assessor's Parcel Number of Real Property

Description of Business Activity or

City or Other Precise Location of Real Property

**FAIR MARKET VALUE**☐ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000**IF APPLICABLE, LIST DATE:**

/ / 01

ACQUIRED

/ / 01

DISPOSED

**NATURE OF INTEREST**☐ Property Ownership/Deed of Trust☐ Stock☐ Partnership☐ Leasehold

Yrs. remaining

☐ Other

Comments:

FPPC Form 700 (2001/2002) Sch. A-2  
FPPC Toll-Free Helpline: 866/ASK-FPPC

**SCHEDULE C**  
**Income & Business Positions**  
(Income Other than Loans, Gifts, and  
Travel Payments)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name Phillip Pennino

NAME OF SOURCE  
PG&E

ADDRESS  
4040 West Lane, Stockton

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Electric and Natural Gas Utility

YOUR BUSINESS POSITION  
Major Account Rep.

GROSS INCOME RECEIVED  
☐ \$500 - \$1,000    ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000    ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
☒ Salary    ☐ Spouse's income    ☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

NAME OF SOURCE

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED  
☐ \$500 - \$1,000    ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000    ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
☐ Salary    ☐ Spouse's income    ☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

NAME OF SOURCE

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED  
☐ \$500 - \$1,000    ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000    ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
☐ Salary    ☐ Spouse's income    ☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

NAME OF SOURCE

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED  
☐ \$500 - \$1,000    ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000    ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
☐ Salary    ☐ Spouse's income    ☐ Loan repayment

☐ Sale of \_\_\_\_\_  
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SCHEDULE D**  
**Income – Loans**  
(Received or Outstanding)

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name  
Phillip Pennino

NAME OF LENDER  
Pacific Service Employees Credit Union

ADDRESS  
Walnut Creek, CA

BUSINESS ACTIVITY OF LENDER  
☒ Financial Institution  
☐ Other

INTEREST RATE  
7.9 % ☐ None

TERM (Months/Years)  
60 months

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☒ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☐ None ☒ Automobile ☐ Personal residence

☐ Real Property \_\_\_\_\_  
Street address  
\_\_\_\_\_  
City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_  
(Describe)

NAME OF LENDER  
Chrysler Financial

ADDRESS  
Pasadena, CA

BUSINESS ACTIVITY OF LENDER  
☒ Financial Institution  
☐ Other

INTEREST RATE  
6 % ☐ None

TERM (Months/Years)  
60 months

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☒ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☐ None ☒ Automobile ☐ Personal residence

☐ Real Property \_\_\_\_\_  
Street address  
\_\_\_\_\_  
City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_  
(Describe)

NAME OF LENDER  
GMC

ADDRESS  
Glendale, AZ

BUSINESS ACTIVITY OF LENDER  
☒ Financial Institution  
☐ Other

INTEREST RATE  
-0- % ☐ None

TERM (Months/Years)  
60 months

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☐ None ☒ Automobile ☐ Personal residence

☐ Real Property \_\_\_\_\_  
Street address  
\_\_\_\_\_  
City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_  
(Describe)

NAME OF LENDER  
AFSA

ADDRESS  
Utica, NY

BUSINESS ACTIVITY OF LENDER  
☒ Financial Institution  
☐ Other

INTEREST RATE  
4 % ☐ None

TERM (Months/Years)  
120 months

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☒ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☒ None ☐ Automobile ☐ Personal residence

☐ Real Property \_\_\_\_\_  
Street address  
\_\_\_\_\_  
City

☐ Guarantor \_\_\_\_\_

☐ Other \_\_\_\_\_  
(Describe)

Comments:

## SCHEDULE D

### Income – Loans (Received or Outstanding)

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Phillip Pennino

NAME OF LENDER  
**Sallie Mae Servicing**

ADDRESS  
**Wilkes-Barre, PA**

BUSINESS ACTIVITY OF LENDER  
☒ Financial Institution  
☐ Other

INTEREST RATE **4** % ☐ None TERM (Months/Years) **120 months**

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☒ None ☐ Automobile ☐ Personal residence  
☐ Real Property \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_  
☐ Guarantor \_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY OF LENDER  
☐ Financial Institution  
☐ Other

INTEREST RATE \_\_\_\_\_ % ☐ None TERM (Months/Years) \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☐ None ☐ Automobile ☐ Personal residence  
☐ Real Property \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_  
☐ Guarantor \_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

NAME OF LENDER  
**US Department of Education**

ADDRESS  
**Atlanta, GA**

BUSINESS ACTIVITY OF LENDER  
☒ Financial Institution  
☐ Other

INTEREST RATE **4** % ☐ None TERM (Months/Years) **120 months**

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☒ None ☐ Automobile ☐ Personal residence  
☐ Real Property \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_  
☐ Guarantor \_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

NAME OF LENDER

ADDRESS

BUSINESS ACTIVITY OF LENDER  
☐ Financial Institution  
☐ Other

INTEREST RATE \_\_\_\_\_ % ☐ None TERM (Months/Years) \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000  
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SECURITY FOR LOAN  
☐ None ☐ Automobile ☐ Personal residence  
☐ Real Property \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_  
☐ Guarantor \_\_\_\_\_  
☐ Other \_\_\_\_\_  
(Describe)

Comments: \_\_\_\_\_